## **Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

## **Listing of Claims:**

- 1. (currently amended) A head-related transfer function model for use with 3D sound applications, comprising:
  - a plurality of Eigen filters;
- a plurality of spatial characteristic functions derived from headrelated transfer functions and adaptively combined with said plurality of Eigen filters; and
- a plurality of regularizing models adapted to <u>respectively</u> regularize said plurality of spatial characteristic functions prior to said respective combination with said plurality of Eigen filters to provide a plurality of head related transfer functions with varying degrees of smoothness.
- 2. (previously presented) The head-related transfer function model for use with 3D sound applications according to claim 1, further comprising:
- a summer operably coupled to said plurality of combined Eigen filters combined with said plurality of regularized spatial characteristic functions to provide said head-related transfer function model.
- 3. (previously presented) The head-related transfer function model for use with 3D sound applications according to claim 1, wherein:
- said plurality of regularizing models are each adapted to perform a generalized spline model.

- 4. (previously presented) The head-related transfer function model for use with 3D sound applications according to claim 1, further comprising:
- a smoothness control operably coupled with said plurality of regularizing models to allow control of a trade-off between localization and smoothness of said head-related transfer function.
- 5. (currently amended) A head-related impulse response model for use with 3D sound applications, comprising:
  - a plurality of Eigen filters;
- a plurality of spatial characteristic functions derived from headrelated impulse responses and adapted to be respectively combined with said plurality of Eigen filters;
- a plurality of regularizing models adapted to <u>respectively</u> regularize said plurality of spatial characteristic functions prior to said respective combination with said plurality of Eigen filters; and
- a single regularized head-related transfer function filter produced by summing said Eigen filters and said regularized spatial characteristic functions.
- 6. (previously presented) The head-related impulse response model for use with 3D sound applications according to claim 5, further comprising:
- a summer adapted to sum said plurality of combined Eigen filters combined with said plurality of regularized spatial characteristic functions to provide said head-related impulse response model.
- 7. (previously presented) The head-related impulse response model for use with 3D sound applications according to claim 5, wherein:
- said plurality of regularizing models are each adapted to perform a generalized spline model.

- 8. (previously presented) The head-related transfer function model for use with 3D sound applications according to claim 5, further comprising:
- a smoothness control in communication with said plurality of regularizing models to allow control of a trade-off between localization and smoothness of said head-related transfer function.
- 9. (currently amended) A method of determining spatial characteristic sets for use in a head-related transfer function model, comprising:

constructing a covariance data matrix of a plurality of measured head-related transfer functions;

performing an Eigen decomposition of said covariance data matrix to provide a plurality of Eigen vectors;

determining at least one principal Eigen vector from said plurality of Eigen vectors;

projecting said measured head-related transfer functions back to said at least one principal Eigen vector to create said spatial characteristic sets; and

respectively regularizing said spatial characteristic sets by a plurality of regularizing models prior to being combined with a plurality of Eigen filters to provide a plurality of regularized head-related transfer functions with varying degrees of smoothness.

10. (currently amended) A method of determining spatial characteristic sets for use in a head-related impulse response model, comprising:

constructing a covariance data matrix of a plurality of measured head-related impulse responses;

performing an Eigen decomposition of said time domain covariance data matrix to provide a plurality of Eigen vectors;

determining at least one principal Eigen vector from said plurality of Eigen vectors;

back-projecting said measured head-related impulse responses to said at least one principal Eigen vector to create said spatial characteristic sets; and

respectively regularizing said spatial characteristic sets by a plurality of regularizing models prior to being combined with a plurality of Eigen filters to provide a plurality of regularized head-related impulse responses with varying degrees of smoothness.

11. (currently amended) Apparatus for determining spatial characteristic sets for use in a head-related transfer function model, comprising:

means for constructing a covariance data matrix of a plurality of measured head-related transfer functions:

means for performing an Eigen decomposition of said covariance data matrix to provide a plurality of Eigen vectors;

means for determining at least one principal Eigen vector from said plurality of Eigen vectors; and

means for back-projecting said measured head-related transfer functions to said at least one principal Eigen vector to create said spatial characteristic sets; and

means for <u>respectively</u> regularizing said spatial characteristic sets by a plurality of regularizing models prior to being combined with a plurality of Eigen filters to provide a plurality of regularized HRTFs with varying degrees of smoothness.

12. (currently amended) Apparatus for determining spatial characteristic sets for use in a head-related impulse response model, comprising:

means for constructing a covariance data matrix of a plurality of measured head-related impulse responses;

means for performing an Eigen decomposition of said time domain covariance data matrix to provide a plurality of Eigen vectors;

means for determining at least one principal Eigen vector from said plurality of Eigen vectors;

means for back-projecting said measured head-related impulse responses to said at least one principal Eigen vector to create said spatial characteristic sets; and

means for <u>respectively</u> regularizing said spatial characteristic sets by a plurality of regularizing models prior to being combined with a plurality of Eigen filters to provide a plurality of regularized head-related impulse responses with varying degrees of smoothness.